

02-08-01

A

02/05/01

J0952 U.S. PTO

SENNIGER, POWERS, LEAVITT & ROEDEL  
ATTORNEYS AT LAW

ONE METROPOLITAN SQUARE  
16TH FLOOR  
ST. LOUIS, MISSOURI 63102  
314-231-5400

February 5, 2001

FACSIMILE 314-231-5401  
http://www.senniger.com  
PATENTS, TRADEMARKS, COPYRIGHTS  
AND RELATED MATTERS

OF COUNSEL  
IRVING POWERS  
DONALD G. LEAVITT  
RICHARD G. HEYWOOD

STUART N. SENNIGER  
(1921-1997)

FRANK J. AGOVINO  
E. ALLEN  
ROBERT M. BAIN  
JAMES J. BARTA, JR.  
G. HARLEY BLOSSER  
JOHN M. BODENHAUSEN  
RICHARD L. BRIDGE  
JAMES E. BUTLER, PH D  
SARAH J. CHICKOS  
J. BENNETT CLARK  
JENNIFER E. COOK  
DAVID E. CRAWFORD, JR.  
MATTHEW L. CUTLER  
JAMES E. DAVIS  
KATHRYN J. DOTY  
ROBERT M. EVANS, JR  
PAUL I.J. FLEISCHUT  
MICHAEL E. GODAR  
CHRISTOPHER M. GOFF  
DAVID W. HARLAN  
JAMES D. HARPER

EDWARD J. HEJLEK  
KAREN Y. HUI  
KURT F. JAMES  
VINCENT M. KEIL  
ANTHONY R. KINNEY  
BRIAN P. KLEIN  
WILLIAM E. LAHEY  
PAUL A. MADDOCK  
IULIE J. McMURRY  
MICHAEL G. MUNSELL  
DEBRA D. NYE  
WILLIAM D. O'NEILL  
KATHLEEN M. PETRILLO  
LAURA R. POLCYN  
KEITH A. RABENBERG  
STEVEN M. RITCHEY  
JOHN K. ROEDEL, JR.  
RICHARD A. SCHUTH  
MEG MARSHALL THOMAS  
MICHAEL J. THOMAS  
DONALD W. TUEGEL

UTILITY PATENT APPLICATION TRANSMITTAL  
(new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket Number: MTC 6770  
First Named Inventor: David A. Morgenstern et al.  
Title: PROCESS FOR THE PREPARATION OF  
N-(PHOSPHONOMETHYL)GLYCINE BY OXIDIZING  
N-SUBSTITUTED N-(PHOSPHONOMETHYL)GLYCINE  
Express Mail Label Number: EL EL742308423US

TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

APPLICATION ELEMENTS

1. ☒ Fee Transmittal Form  
(original and duplicate)
2. ☐ Applicant claims small entity status
3. ☒ Specification [Total Pages 143]
4. ☒ Drawings [Total Sheets 1]
5. Oath or Declaration [Total Pages 5]
  - a. ☐ Newly executed (original or copy)  
☐ New (unexecuted)
  - b. ☒ Copy from a prior application  
(for continuation/divisional with  
Box 19 completed)
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached  
deleting inventor(s) named  
in prior application.

[illegible]

Application Number to be assigned  
Filing Date February 5, 2001  
First Named Inventor David A. Morgenstern  
Attorney Docket Number MTC 6770

## METHOD OF PAYMENT

1.    [ ]    The Commissioner is hereby authorized to charge the indicated fees to Deposit Account No. 19-1345.
- [ ]    The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17 to Deposit Account No. 19-1345.
- [ ]    Applicant claims small entity status.
2.    [X]    Check Enclosed.    The Commissioner is hereby authorized to charge any under payment or credit any over payment to Deposit Account No. 19-1345.

## FEE CALCULATION

1. [X] BASIC FILING FEE Subtotal (1) \$710.00  
(Type: Utility)
2. [X] EXTRA CLAIM FEES Subtotal (2) \$368.00
- Total Claims 36  
Independent Claims 4  
Multiple Dependent Claims 0
3. [ ] ADDITIONAL FEES Subtotal (3) \$
- [ ] Surcharge - late filing fee or oath  
[ ] Surcharge - late provisional filing fee or cover sheet  
[ ] Extension for reply within            month  
[ ] Notice of Appeal  
[ ] Filing a Brief in Support of an appeal  
[ ] Request for ex parte Reexamination  
[ ] Petitions to the Commissioner  
[ ] Submission of Information Disclosure Statement  
[ ] Recording each patent assignment per property  
[ ] Request for Continued Examination  
[ ] Other:

**TOTAL AMOUNT OF PAYMENT**      \$1,078.00

*John K. Roedel, Jr.* Feb. 5, 2001  
John K. Roedel, Jr., Reg. No. 25,914 Date

JKRcwa

Express Mail Label No. EL742308423US

6. ☒ Incorporation By Reference  
(useable if Box 5b is marked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
7. ☒ Application Data Sheet
8. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
9. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form
- b. ☐ Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

10. ☐ Assignment Papers (cover sheet & document(s))
11. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney
12. ☐ English Translation Document (if applicable)
13. ☐ IDS with PTO-1449 ☐ Copies of IDS Citations
14. ☒ Preliminary Amendment
15. ☒ Return Receipt Postcard
16. ☐ Request and Certification for Non-Publication. Form PTO/SB/35 is attached.
17. ☐ Certified Copy of Priority Document(s) if foreign priority is claimed
18. ☐ Other: \_\_\_\_\_

IF A CONTINUING APPLICATION, CHECK APPROPRIATE  
BOXES AND SUPPLY THE REQUISITE INFORMATION

19. ☐ Continuation ☒ Divisional ☐ Continuation-in-Part  
of prior application No.: 09/263,171, filed 03/05/99 which  
is a Non Provisional of Provisional Serial No. 60/096,207,  
filed 08/12/98 and is a Continuation-in-Part of Serial No.  
09/023,404, filed 02/12/98, and also claims priority from  
PCT/US98/02883, filed 02/12/98.

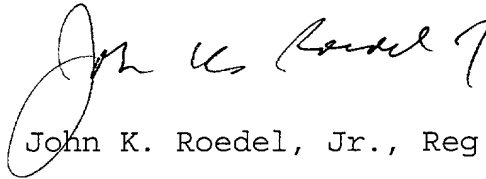
☐ Complete Application based on provisional Application  
No. \_\_\_\_\_

Prior application information: Examiner: M. Ambrose  
Group Art Unit: 1626

CORRESPONDENCE ADDRESS

20. Correspondence Address: Customer Number 321  
Attention: John K. Roedel, Jr.

Respectfully submitted,



John K. Roedel, Jr., Reg. No. 25,914

JKRcwa